Decision theory in conservation biology: are there rules of thumb?

Application for 1999 NCEAS Centre Fellowship

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Summary

Ecological theory and complex, often spatially explicit, computer simulations are two ways in which ecologists have attempted to help managers solve conservation problems. Both methods have provided little guidance. Ecological theory is simple enough to be general, but lacks the constraints and trade-offs to be usefully applied in the real world. Complex computer simulations target specific ecosystems and problems (are not general), require many parameters that may be hard to estimate, and the robustness of the ensuing decisions may take years of simulating to evaluate. The primary purpose of this sabbatical will be to use existing work on the application of formal optimisation tools, like stochastic dynamic programming, to develop simple and robust "rules of thumb" for two major conservation problems, disturbance management and metapopulation management. In its grandest sense, I wish to outline a theory of applied conservation biology - something which I believe does not exist.

This research proposal arises from an NCEAS working group on population management held in August 1997 (Shea, Mangel and Possingham). Some ancillary projects initiated in the workshop need to be completed. In the July 1998 NCEAS proposal round I will apply for funds to reconvene parts of the population management workshop. My research will be split between the problem described above and tidying up ancillary projects from the working group.

Problem Statement

In August 1997 the Centre hosted a working group on population management (Shea, Mangel and Possingham). The purpose of the working group was to identify differences and commonalities between the three fields of population management: harvesting, conservation and pest management. One issue that emerged was the different extents to which each field uses decision theory tools. In harvesting the use of formal optimisation methods is commonplace (eg. Supriatna and Possingham 1998) while in conservation the use of any formal decision-making tools is rare (Maguire et al. 1987, Milner-Gulland 1997, Shea et al. submitted). The primary purpose of this sabbatical is to develop a theory of applied conservation using decision theory tools, in particular stochastic dynamic programming.

Conservation biology is one of the applied arms of ecology. Some of the major questions in nature conservation have only recently been posed. How big is a viable population? How big should a reserve be? How should we manage disturbances? Ever since these problems were posed ecologists have sought to use existing ecological theory and tools to solve them (MacArthur and Wilson 1967, Shaffer 1981). This attempt has often lead to embarrassing failure - as with the SLOSS debate. The failure can often be attributed to the fact that applied conservation problems like: Are habitat corridors useful? are not well posed from the perspective of a manager. The questions ignore constraints and trade-offs. Specifically, the question is not - Should we construct a habitat corridor? - but if we are constructing habitat should that be in the form of a corridor, a new patch of habitat, or should it be used to increase the size of one of the patches. In short, theory for nature conservation lacks an "economy" in the broadest sense of the word. (The idea of well-posed applied conservation problems is discussed in Possingham (1997) with respect to metapopulation management.) Let us now consider this issue in the context of managing disturbances, the issue that will be the focus of my sabbatical research.

We know that different species prefer different habitat types. Disturbances and succession change an area from one habitat type to another. Some species prefer early successional habitat, some mid and others "old-growth" habitat. How then should we manage a disturbance, like fire in a large natural area, for conservation? Eliminating fire will cause the demise of early successional species but uncontrolled fires will eliminate species that favour late successional habitat. There are two conventional approaches to this problem: "ecological theory", and "simulate everything".

The "ecological theory" approach seeks solutions to applied problems using very general models or principles. The intermediate disturbance principle (Lubchenco 1978) is one of the few general rules in ecology. It states that maximum species diversity occurs in places with intermediate levels of disturbance. The principle was never intended to guide management yet it is one of the few pieces of advice we can give on disturbance management. In the context of fire management it would imply that too many or too few fires is bad. The statement is of little use as a management prescription when we have explicit economic and biodiversity costs and benefits from different activities and outcomes. For example, putting a fire out or letting a fire burn each has associated economic biodiversity costs that depend explicitly on the state of the ecosystem.

The "simulate everything" approach attempts to incorporate as many biological processes as possible (eg. Fleming et al., 1994). There is an alarming increase in the number of very complex spatially explicit computer simulation models intended to help managers. Some of these target the issue of disturbance management. The models may include many species, many processes that have complex spatial and temporal drivers, and several site-specific management options. They are fun to play with and their construction may be enlightening to those involved, but in almost all cases it is hard to imagine how a manager would use these models to make real decisions, because of parameter and process uncertainty.

Fisheries modellers appear to have taken a more realistic approach to providing guidance to managers. They invariably begin with clear objectives, like minimising the chance of stock collapse while ensuring economic profitability. They are also aware of management constraints, like the ability to control the fishers through different

policies, and they are aware of the limitations of their data. The population models are of low to moderate complexity and they invariably use explicit decision theory to find optimal management policies. The results of these formal analyses are then approximated by simple "rules of thumb" which are then tested for robustness. It is this general approach that I wish to apply to the management of disturbance.

Over the past two years my colleagues and I have begun developing a suite of models for optimal disturbance management (Possingham and Tuck 1997, Possingham and Tuck 1998, Richards, Possingham and Tizard, in prep). While these models and associated work on metapopulations (Possingham 1997) have shown the power of putting conservation problems into a stochastic dynamic programming framework the big step to generate rules of thumb remains undone. In each of these models we have been able to formally pose the conservation problem, define constraints and find the optimal state-dependent management strategy. What remains to be done is simplifying these rules so that any manager can apply them with confidence. Let me illustrate this research agenda with an example.

For fire management in a large park we set the biodiversity objective: each of the three major successional habitats in the park must cover at least 20% of the park (Richards, Possingham and Tizard, in prep). The states are defined so that key fauna and flora are adequately conserved if, and only if, the biodiversity objective is being met. A discrete-time Markov chain models the vegetation dynamics and stochastic dynamic programming is used to find the state-dependent strategy that maximises the proportion of time that the park meets our biodiversity objective. The vegetation model is simple and non-spatial. What I intend to do is to explore the model more fully, generate some rules of thumb, and then explore the robustness of these rules of thumb when the vegetation dynamics are made more complex (a semi-Markov process) and space is introduced in the model. A similar research agenda will be used to explore optimal fire management for a single threatened species (Possingham and Tuck 1998) and metapopulation management (Possingham 1997).

This research agenda presents some major challenges. Do robust rules of thumb exist for conservation managers? Can very simple population and ecosystem models be used to generate these rules? Does space matter?

Aside from main project described above I will work on ancillary projects, most of which have arisen from the population management workshop. I will not describe these projects in detail, but merely list them by project name and collaborator.

Ancillary projects

Unifying metapopulation and disturbance theory - with Priyanga Amarasekare (successful NCEAS postdoctoral associate applicant from fall 1998)

Decision theory for pest management - with Katriona Shea and Bill Murdoch

Applying optimisation and decision tools to reserve design - with Sandy Andelman and her working group

Analysis of time series data for determining minimum viable habitat areas - with Peter Karieva and others

Virtual ecology in action: matching observed patterns with model output - Andrew Tyre (applicant for NCEAS postdoctoral associate for 1999)

Rationale for NCEAS support

The NCEAS is the logical place to carry out this research program for the following reasons

- 1. The kind of work envisaged, which will involve large state-space stochastic dynamic programming problems, will require access to fast computers with large amounts of RAM such as those at the NCEAS.
- 2. The sabbatical proposal represents a logical continuation of the very successful Population Management Working Group. The NCEAS provides the unique opportunity to combine a sabbatical visit with a working group facilitating the existing collaborations between scientists associated with the NCEAS (see ancillary projects above).
- 3. I believe that the research agenda proposed here will precipitate a paradigm shift in conservation biology consistent with two broad aims of the NCEAS - cutting-edge analytical approaches, and developing novel ideas and theories.

Proposed activities and timetable

Anticipated results and beneficiaries

I anticipate two main papers - one that generates and tests the robustness of rules of thumb for fire management, another for metapopulation management. These will be published in other forms to facilitate discussion in the conservation biology community and ultimate transfer to managers. Other publications will result from the ancillary projects identified above.

I typically attend about four conferences a year and deliver about 20 talks/seminars outside my normal lecturing duties. While at the Centre I will deliver several talks about this research agenda to universities, especially those on the west coast, and the ESA conference in July. However travel time will be generally minimised (day trips). In my last six months sabbatical I gave sixteen talks which was too many!

Participants

Collaborators are listed under ancillary projects. The follow-on population management workshop for January 1999, that I will propose to the Center in July, will involve these people and others yet to be contacted. I anticipate about eight participants, slightly fewer than last time.

Budget

References

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Supriatna, A., and Possingham, H. P. 1998. Optimal harvesting for a predator-prey metapopulation. *Bulletin of Mathematical Biology* (in press).

Keywords 1. Organizational Focus 4. Taxonomic Group (pick 1) (pick all that apply) ----------------------- ----------------------- \overline{X} Plants __ Ecosystem X Invertebrates __ Community __ insects Meta-population other terr. inverts X Population __ marine inverts __ Organismal __ aquatic inverts __ Cellular X Vertebrates __ Molecular __ mammals 2. Regional Focus __ reptiles/amphibians (pick all that apply) __ fish ----------------------- __ Microbes __ California __ Fungi United States __ Southwest __ Northwest 5. Methods __ Southcentral (pick all that apply) __ Northcentral ----------------------- __ Northeast __ Numerical Analysis __ Africa X Simulation model __ Antarctic __ Visualization __ Arctic Meta-analysis __ Asia __ Classification and Mapping Canada __ Central America 6. Research Application __ Europe (rank up to 3) __ South America ----------------------- X Global __ acid rain 3. Ecological Theme __ coastal resources (rank up to 3) 1 conservation ----------------------- 2 ecosystem management __ amensalism __ energy 1 biodiversity environmental policy __ biogeography __ fisheries __ commensalism __ forestry __ community dynamics __ global warming __ competition __ human population _ complex systems __ land management dispersal __ ozone 2 disturbance pollution __ ecological economics reserve siting/design __ evolution __ restoration __ genetics ___ toxicology __ global change __ Other _________________ 3 methodological innovation

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Born July 21st 1962 in Adelaide, Australia Married to Karen Anne Fiegert on July 1st 1985 Son, Nicholas Lawrence, born July 27th 1989 Daughter, Alexandra Constance, born December 26th 1990

EDUCATION

MAJOR PRIZES AND SCHOLARSHIPS

- 1984 Rhodes Scholarship, Australia-at-large
- 1989 QEII Fellowship

ACADEMIC EMPLOYMENT

CURRENT POSITIONS

Member - National Biodiversity Council Member - SA National Parks Council

Member - Wildlife Advisory Committee (SA) President of the Nature Conservation Society of South Australia Head of Department of Environmental Science and Management International Board of Govenors - Resource Modelling Association Convener of A&NRS Faculty Information Technology Committee

RESEARCH PROGRAMS:

- Optimal control problems in reserve design, biodiversity management, and fire regime management.
- Population viability analysis (PVA) including the development of ALEX a software package that assesses the likelihood of animal extinctions for teaching, research, management and EIS.
- Pollination ecology, metapopulation dynamics, bioeconomics,stochastic modelling, biodiversity and climate change, population dynamics of marine organisms, avian community ecology, edge effects and fragmentation, behavioural and population ecology of parasitoids.

POSTGRADUATE SUPERVISION (*principal supervisor)

1998 Matt Turner, Anne Koerber

TEACHING

1986-87 Tutor in Finals **Probability** and **Random Processes**, Demonstrator in **Preliminary Statistics**, and Teaching Assistant in **Non-parametric Statistics** at Oxford University. 1988 Teaching Assistant for **Principles of Ecology** at Stanford University.

REVIEWER FOR THE FOLLOWING JOURNALS

American Naturalist, Ecology, Theoretical Population Biology, Ecological Modelling, Pacific Conservation Biology, Australian Journal of Ecology, Australian Journal of Botany, Australian Journal of Zoology, Wildlife Research, Journal of Australian Marine and Freshwater Research, Australian Journal of Applied Mathematics (Series B), Biological Conservation, Environmental Modelling, PNAS, Journal of Animal Ecology, Animal Conservation, Royal Society - Biological Sciences, Biological Conservation, Conservation Biology and others.

Publication summary (DEETYA counted)

Numerous (about 50) publications in non-refereed publications like Environment SA, Habitat, Xanthopus, Newsletters of clubs and societies

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